

**THIRD CORRECTIVE ACTION PLAN
ASSESSMENT**

of

UNION CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted June 19-20, 2013

CMA STAFF

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CAP Assessment Distributed on September 23, 2014

CAP Assessment of Union Correctional Institution

I. Overview

On June 19-20, 2013, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Union Correctional Institution (UNICI). The survey report was distributed on June 17, 2013. In August of 2013, UNICI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the June 2013 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On January 23, 2014, CMA staff conducted an on-site CAP assessment to evaluate the effectiveness of corrective actions taken by institutional staff to address the findings of the June 2013 survey. The CAP closure files revealed sufficient evidence to determine that 18 of 21 physical health findings and 21 of 31 mental health findings were corrected. CMA staff conducted a second on-site CAP assessment on June 5, 2014 to evaluate the effectiveness of corrective actions taken by institutional staff to address the remaining findings. The CAP closure files revealed sufficient evidence to determine that 1 of 3 physical health findings and 9 of 10 mental health findings were corrected. On September 23, 2014 CMA staff conducted the third CAP assessment to evaluate the remaining findings, this assessment was conducted off-site. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that both of the remaining physical health findings were corrected. All physical health portions of this CAP will close.

| Finding | CAP Evaluation Outcome |
|--|--|
| <p><u>ONCOLOGY CLINIC RECORD REVIEW</u></p> <p>PH-8: A comprehensive review of 14 inmate records revealed the following deficiencies:</p> <p>(b) In 6 records, the baseline history was incomplete or missing.</p> <p>(c) In 6 records, the baseline physical examination was incomplete or missing.</p> | <p>PH-8(b) & (c) CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close PH-8(b) & (c).</p> |

III. Mental Health Assessment Summary

The CAP closure files revealed sufficient evidence to determine that the remaining mental health finding was corrected. All mental health portions of this CAP will close.

| Finding | CAP Evaluation Outcome |
|---|---|
| <u>INPATIENT MENTAL HEALTH SERVICES RECORD REVIEW</u> MH-5(g): In 1 of 5 applicable CSU records (15 reviewed), the risk assessment for violence was not present. | MH-5(g) CLOSED Adequate evidence of in-service training and documentation of correction were provided to close MH- 5(g). |

IV. Conclusion

All physical and mental health findings are closed. All outstanding issues related to the CMA survey of UNICI are adequately resolved and no further action is required.